

Communication Status of Independent Directors with Internal Audit Officer and Certified Public Account

1. After the internal audit report of the Bank is reported to the Chairman for review, it is submitted to the Audit Committee and independent directors for review according to regulations. In case of discovery of material deficiencies or abnormalities, report to the single reporting window of the Financial Examination Bureau, Financial Supervisory Commission according to the format and content specified by the competent authority. The external audit examination comment improvement status is submitted to the independent directors during the board of directors' meeting. For the parts required for improvement as listed in the examination report, all of the independent directors actively perform the supervision and tracking. The Chief Audit Officer of the Bank reports the audit duty execution status to the board of directors and audit committee quarterly. The independent directors maintain close contact with the internal audit managers. °
2. The directors and independent directors of the Bank conduct discussion meeting with the internal auditors periodically on the deficiencies of the internal control system, and the discussion meeting records are reported to the board of directors' meeting, such that the communication status is proper.
3. 2018 periodic communication status summarization:

Date	Communication method	Communication subject	Communication matters	Communication result
2018.1.10	Discussion meeting	Financial manager of the Bank and certified public accountant (CPA) of the Bank	Explanation and communication on essential audit matters in the 2017 audit report of the Bank	Reported to independent directors for knowing.
2018.2.8	Audit Committee and discussion meeting	Financial manager of the Bank and certified public accountant (CPA) of the Bank	CPA provided explanation and communication for the 2017 financial report and financial, business audit status of the Bank.	Annual financial report approved by the audit committee, reported to the board of directors' meeting for approval and announced as well as reported to the competent authority according to the schedule.

Date	Communication method	Communication subject	Communication matters	Communication result
2018.3.12	Audit Committee	Chief Audit Officer of the Bank and audit manager of the Bank	<ol style="list-style-type: none"> 1. 2017 fourth quarter audit report. 2. The Bank's 2017 "Internal Control System Declaration" and "Internal Control System Declaration" for the insurance agent business. 	<ol style="list-style-type: none"> 1. Handled according to recommendations. 2. Handled according to recommendations.
2018.4.13	Audit Committee and discussion meeting	Chief Audit Officer of the Bank and audit manager of the Bank	<ol style="list-style-type: none"> 1. The Bank's 2017 agreed-upon procedure report and the internal control system audit report for insurance agent business of the bank examination. 2. CPA report related to the examination on the 2017 agreed-upon procedures and reports of the bank. 3. The Bank's 2017 audit result of "anti-money laundering and counter terrorism financing system project audit". 4. The Bank's 2017 audit result of personal information protection mechanism project audit. 	The matters listed on the left were agreed by the audit committee, reported to the board of directors for approval and were announced as well as reported to the competent authority according to the schedule.
2018.5.9	Audit Committee	Chief Audit Officer of the Bank	2018 first quarter audit report.	Handled according to recommendations.

Date	Communication method	Communication subject	Communication matters	Communication result
		Audit supervisor of the Bank		
2018.8.15	Audit Committee and discussion meeting	Chief Audit Officer of the Bank, audit manager of the Bank, financial manager of the Bank and CPA of the Bank	<ol style="list-style-type: none"> 1. 2018 second quarter audit report. 2. Explanation and communication on essential audit matters in the 2018 second quarter audit report of the Bank. 3. CPA provided explanation and communication for the 2018 second quarter financial report and financial, business audit status of the Bank. 	<ol style="list-style-type: none"> 4. Handled according to recommendations. 5. Second quarter annual financial report approved by the audit committee, reported to the board of directors' meeting for approval and announced as well as reported to the competent authority according to the schedule.
2018.11.27	Audit Committee	Chief Audit Officer of the Bank and audit manager of the Bank	<ol style="list-style-type: none"> 1. 2018 third quarter audit report. 2. The Bank's 2019 audit plan. 	Handled according to recommendations.
2018.12.11	Discussion meeting	Chief Audit Officer of the Bank and audit manager of the Bank	Review of internal control system of the Bank.	Handled according to recommendations.