

**APPENDIX A TO SUBPART I OF PART 1010—
CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS**
[OMB Control Number 1506-0043]

**CHANG HWA COMMERCIAL BANK
GLOBAL USA PATRIOT ACT CERTIFICATION**

REGARDING CORRESPONDENT ACCOUNTS FOR CHANG HWA COMMERCIAL BANK, LTD. HEAD
OFFICE AND ITS OVERSEAS BRANCHES

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 1010.100(d)).*

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, including Chang Hwa Commercial Bank, Ltd. Head Office and all of its overseas branches as listed in the attachment ("Chang Hwa Bank Entities"), hereby certify as follows:

B. Correspondent Accounts Covered by this Certification:

This Certification applies to all accounts established for Chang Hwa Bank Entities by Covered Financial Institutions.

C. Physical Presence/Regulated Affiliate Status:

Each of Chang Hwa Bank Entities maintains a physical presence in any country. That means:

- Each entity has a place of business at the street address set forth in the attachment hereto, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities;
- Each entity is authorized to conduct banking activities in the country where its place of business is located;
- Each entity is subject to inspection by the BANKING AUTHORITY as indicated in the attachment hereto, that licensed the particular entity to conduct banking activities.

D. Indirect Use of Correspondent Accounts:

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Chang Hwa Bank Entities hereby certify that they do not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information:

☒ Chang Hwa Bank Entities' shares are publicly traded.

Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

F. Process Agent:

Chang Hwa Commercial Bank, Ltd. Los Angeles Branch is a resident of the United States at 333 South Grand Avenue, Suite 2250, Los Angeles, CA 90071 U. S. A., and is authorized to accept service of legal process on behalf of Chang Hwa Bank Entities from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

G. General

Chang Hwa Bank Entities hereby agree to notify in writing each Covered Financial Institution at which they maintain any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Chang Hwa Bank Entities understand that each Covered Financial Institution at which they maintain a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Chang Hwa Bank Entities further understand that the statements contained in this Certification may be transmitted to one or more

departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Hsiang-Chun Wu, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Chang Hwa Bank Entities.

Chang Hwa Commercial Bank, Ltd.
[Name of Foreign Bank]

Hsiang Chun Wu
[Signature]

Hsiang-Chun Wu
[Printed Name]
Executive Vice President & Chief Compliance Officer
[Title]
Executed on this 16th day of June, 2022.

Received and reviewed by:
Name: _____
Title: _____
For: _____
[Name of Covered Financial Institution]
Date: _____

* A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

**ATTACHMENT TO
CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR CHANG HWA
COMMERCIAL BANK, LTD., HEAD OFFICE AND ITS OVERSEAS BRANCHES**

CHANG HWA BANK ENTITIES	STREET ADDRESS	BANKING AUTHORITY
HEAD OFFICE		
Chang Hwa Commercial Bank, Ltd.	No.38, Sec. 2, Ziyou Rd., Central Dist., Taichung City, Taiwan (R.O.C.) Mailing Address: No.57, Sec. 2, Zhongshan N. Rd., Zhongshan Dist., Taipei City, Taiwan (R.O.C.)	Financial Supervisory Commission, R.O.C.
OVERSEAS BRANCH		
Chang Hwa Commercial Bank, Ltd. New York Branch	685 Third Avenue, 29th Floor, New York, NY 10017, U.S.A.	Federal Reserve Bank of New York; New York State Department of Financial Service; Financial Supervisory Commission, R.O.C.
Chang Hwa Commercial Bank, Ltd. Los Angeles Branch	333 South Grand Avenue, Suite 2250, Los Angeles, CA 90071 U.S.A.	Federal Reserve Bank of San Francisco; Department of Financial Protection and Innovation; Financial Supervisory Commission, R.O.C.
Chang Hwa Commercial Bank, Ltd. Tokyo Branch	Marunouchi Trust Tower Main , 1-8-3 Marunouchi, Chiyoda-ku, Tokyo 100-0005, JAPAN	Financial Services Agency, The Japanese Government; Financial Supervisory Commission, R.O.C.
Chang Hwa Commercial Bank, Ltd. London Branch	4th Floor, 6-8 Tokenhouse Yard, London EC2R 7AS United Kingdom	Prudential Regulation Authority(PRA); Financial Conduct Authority (FCA); Financial Supervisory Commission, R.O.C.
Chang Hwa Commercial Bank, Ltd. Hong Kong Branch	1401, Tower II, The Gateway, 25 Canton Road, Tsimshatsui, Kowloon, Hong Kong	Hong Kong Monetary Authority, Banking Supervision Department; Financial Supervisory Commission, R.O.C.
Chang Hwa Commercial Bank, Ltd. Singapore Branch	1 Finlayson Green, #08-00 Singapore 049246	Monetary Authority of Singapore; Financial Supervisory Commission, R.O.C.
Chang Hwa Commercial Bank, Ltd. Manila Branch	43/F, Philamlife Tower, 8767 Paseo de Roxas Makati City, Metro Manila, 1226, Philippines	Bangko Sentral ng Pilipinas (BSP); Financial Supervisory Commission, R.O.C.